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Express Mail No.: EL 500 577 755 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Marchalonis *et al.*

Group Art Unit: 1648

Application No.: 09/591,789

Examiner: J. Parkin

Filed: June 12, 2000

Attorney Docket No.: 7760-012

For: MODULATION OF IMMUNE RESPONSE
AND METHODS BASED THEREON

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56 AND § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to References AA-AC listed on the attached form entitled "List of References Cited by Applicant". A copy of each of References AA-AC is submitted herewith.

Additionally submitted herewith is a Certification under 37 C.F.R. § 1.97(e)(1), wherein it is certified that References AA-AC contained in this Information Disclosure Statement were first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application.

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Since this Information Disclosure Statement is submitted under 37 C.F.R.

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§ 1.97(d), a fee of \$180.00 is believed due. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date January 24, 2003

Thomas E. Friebe 29,258
Thomas E. Friebe (Reg. No.)

By: William Thomann 40,203
William Thomann (Reg. No.)

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Enclosures



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CERTIFICATION UNDER 37 C.F.R. §1.97(e)(1)

Assistant Commissioner for Patents
Washington D.C. 20231

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Sir:

Pursuant to the provisions of 37 C.F.R. §1.97(e)(1), the undersigned attorney for Applicants hereby certifies that each item of information contained in the Information Disclosure Statement submitted herewith (References AA-AC) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. In the present instance, the references were first cited in a Search Report issued by the International Searching Authority in connection with the corresponding International application, a copy of which Search Report is attached.

Although Reference AA was previously known to Applicants, since this is the first time it is cited in any communication in a counterpart foreign application, it is believed that the Certification under 37 C.F.R. 1.97(e)(1) is proper.

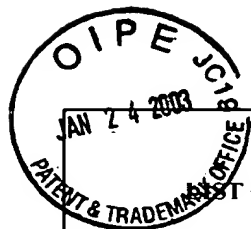
Respectfully submitted,

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LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

7760-012

APPLICATION NO.

09/591,789

APPLICANT

Marchalonis *et al.*

FILING DATE

June 12, 2000

GROUP

1648

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,911,990	6/15/99	Marchalonis <i>et al.</i>			
	AB	5,962,319	10/5/99	Ogawa <i>et al.</i>			
	AC	6,150,337	11/21/00	Tam			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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